

Trans Tasman Transfer Application

If you would like help in completing this form, please email kiwisaver@fisherfunds.co.nz or phone us on **0800 FFIKIWI (0800 335 494)** or **+64 9 445 3377**.

You can complete this form on-screen by typing directly into each field. Once you have completed this form:

- If the value of your withdrawal is under \$100,000 you can email your completed application to kiwisaver@fisherfunds.co.nz.
- If the value of your withdrawal is \$100,000 or more you must post your application and supporting documents to **Fisher Funds Management Limited, Private Bag 93502, Takapuna, Auckland 0740** or send by courier to **Fisher Funds Management Limited, Crown Centre, 67-73 Hurstmere Road, Takapuna, Auckland 0622**.

Who should complete this form?

Please use this form to transfer your Fisher Funds KiwiSaver Scheme or Fisher Funds TWO KiwiSaver Scheme account (“KiwiSaver account”) to a complying Australian Superannuation fund. You are eligible to transfer your KiwiSaver account if you have left New Zealand to live permanently overseas and the Australian Superannuation fund you are transferring to accepts transfers from New Zealand KiwiSaver schemes. If you haven’t done so already, please check with your Australian provider that this is the case before completing all sections below.

Section 1 – Your details

Title First name(s) Surname

Address

City Country Postcode

Home phone Business phone Mobile

Email address

KiwiSaver account number Prescribed Investor Rate (PIR) — *please tick one* 10.5% 17.5% 28% Date of birth

IRD number

Note: before processing this transfer, if approved, if any of the tax payment details differ from what we currently have on record, they will be updated based on the new information provided. Please go to fisherfunds.co.nz/pircalculator to work out your PIR, however if you have been residing outside of New Zealand for more than 325 days you will be a non-resident for tax purposes and the PIR of 28% will apply.

Section 2 – Identification options

Your withdrawal request must be submitted with one of the identification options set out below. We may have your identification documents on file, please contact us to confirm this. We may need to request new identification documents from you.

Option 1

Electronic identity verification

Fisher Funds has the ability to electronically verify your identity and address. Once we have received your application we will send you an SMS via our third party partner to biometrically verify your identity. To complete this method of verification you must have: a smartphone (with a front camera that is capable of taking a photo/video) and a current (not expired) version of one of the following: New Zealand Passport; New Zealand Drivers Licence; Australian Passport; Australian Drivers Licence.

If this method of identification is unsuccessful you will be required to provide certified ID and proof of address.

Option 2

Certified copies of identity documents

Please provide a certified copy of your identity documents and proof of your residential address. Refer to section 3 for information on acceptable identity documents and who can certify them.

Preferred identification method – please select one of the following options:

Option 1 – I would like Fisher Funds to electronically verify my identity

Option 2 - I would like to provide Fisher Funds with certified copies of my identity documents

Section 3 – Certified identity documents

If you selected Option 2 as your preferred way for us to verify your identity please select one of the certified identification options below. If you have selected Option 1 you do not need to provide certified ID now.

Option A: (preferred)

Passport
(containing name, date of birth, photograph and signature)

OR

New Zealand Firearms Licence

OR

New Zealand Driver Licence
(front and back)

Option B:

Full Birth Certificate

AND one of the following

18+ Card/Kiwi Access Card

OR

Overseas Drivers Licence

* If you are supplying foreign identity documents you must also supply proof of New Zealand residency to enable us to confirm your eligibility to have joined KiwiSaver.

Your photo identification must be current (not expired). If you're unable to provide the above documentation please contact us to discuss other options.

A Fisher Funds representative can verify your identity documents if you visit our office.

Section 6 – Trans Tasman permanent emigration transfer instructions

I have permanently emigrated to Australia and request my KiwiSaver account balance to be transferred to my account in the Australian Superannuation fund named above.

Date you left New Zealand

Please provide evidence of your departure from New Zealand (one of the following):

- Evidence of confirmed travel arrangements
- Passport evidence
- Evidence of any necessary visas or shipping contracts/documentation
- Evidence of Australian employment i.e copy of a contract or letter from your Australian employer
- Evidence of your Australian tax status issued by the relevant Australian tax authority

Section 7 – Australian Superannuation fund payment details

We will only make payments in New Zealand dollars and the cost of an international transfer is paid by the member. Any payment will be adjusted for tax at the notified Prescribed Investor Rate (PIR) on your account.

Payment details

Australian provider bank name

Sort code

Swift code

Account number

Bank

Branch

Account number

Suffix

Reference

Please provide proof of your Australian Superannuation fund's bank account, it must show the:

- Bank account name
- Bank account number
- Swift code
- Logo of the bank (if not on the Superannuation fund's letterhead)

Section 8 – Consent and signature

I agree that Fisher Funds Management Limited, the Supervisor and any of their respective related entities may collect and use the information set out in (or in connection with) this form for the purpose for which it is provided. Additionally, they may use that information to promote to me other products and services. We will provide you (on request) with the name and address of any entity to which information has been disclosed. If you do not provide the information required by this form, we may not be able to process your request. You have the right to access all personal information held about you. If any of the information is incorrect, you have the right to have it corrected. The information set out in this form will be collected and held by Fisher Funds whose address is Level 1, Crown Centre, 67-73 Hurstmere Road, Takapuna, Auckland 0622.

- I understand that any information I give to Fisher Funds may be passed on to my chosen Australian Superannuation fund as reasonably required and I authorise Fisher Funds to give such information in relation to this transfer as requested by my chosen Australian Superannuation fund.
- I acknowledge that there may be tax consequences when transferring my KiwiSaver savings to an Australian Superannuation fund, and that I am liable for any such tax consequences.
- I acknowledge that Fisher Funds has recommended that I seek independent and professional Australian and New Zealand tax advice pertaining to my circumstances in relation to the proposed transfer.
- I understand that any annual Government contribution entitlement I have received during my membership period whilst residing outside of New Zealand will be deducted from my withdrawal amount and returned to the Commissioner of Inland Revenue.
- I understand that my KiwiSaver account will be closed upon my savings being transferred to my chosen Australian superannuation fund.
- I understand that following a transfer of my KiwiSaver savings to an Australian superannuation fund I will not be able to transfer them to a third country.
- I understand that my application is subject to the approval of Fisher Funds and that Fisher Funds may request additional information in support of this application.
- I understand that my application will be unable to be processed if my chosen Australian Superannuation fund named in section 2 of this application does not accept the transferred funds.
- I acknowledge that on the receipt of my funds by the Australian Superannuation fund, Fisher Funds and the Supervisor will be released from all liabilities in relation to my KiwiSaver account.
- I understand that the "New Zealand sourced" savings in my Australian Superannuation fund will not be able to be accessed until the age of eligibility of New Zealand Superannuation is reached (*currently 65*).
- I understand that once my KiwiSaver savings have been transferred to Australia, they will become (*with a few exceptions*) subject to the rules and regulations governing the Australian Superannuation fund.

I confirm that for the period that I have been a member of KiwiSaver, my principal place of residence was New Zealand except for the periods:

From	To	From	To	From	To
<input type="text" value="/ /"/>	<input type="text" value="/ /"/>	<input type="text" value="/ /"/>	<input type="text" value="/ /"/>	<input type="text" value="/ /"/>	<input type="text" value="/ /"/>
Name	Signature	Signature		Date	
<input type="text"/>	<input type="text"/>	<input type="text"/>		<input type="text" value="/ /"/>	

Section 9 – Statutory declaration

I,

Name of KiwiSaver member

of

Address

Occupation

solemnly and sincerely declare that:

- I have permanently emigrated from New Zealand to Australia and have no intention of returning to live in New Zealand permanently.
- I have accurately reflected above the dates during which my principal place of residence was not New Zealand.
- I agree that by withdrawing from my KiwiSaver account I am ending my membership and all claims that have been made or may be made by me on the Manager in relation to my KiwiSaver account.
- I understand that my withdrawal value may fluctuate based on the unit price(s) which applies when the withdrawal is processed and that fees, taxes and expenses may be deducted from my KiwiSaver account.
- The information given in this form is true and correct. I acknowledge that the Manager will rely on information provided in (or in connection with) this form and accordingly agree to indemnify them against any claims, liability, losses, damages, costs and expenses whatsoever which may arise directly or indirectly as a result of any information provided in (or in connection with) this form being untrue or misleading (including omission).
- I understand that the Manager will not be able to complete its assessment of this application if the information given in this form is incomplete or incorrect.

I make this solemn declaration conscientiously believing the same to be true and by virtue of the Oaths and Declarations Act 1957.

Your signature

Declared at

Address

Date

Before me

Name

Capacity e.g. Justice of the Peace, Solicitor, Notary Public or other person authorised to take a statutory declaration.

Signature

Checklist

- Complete Sections 1-8
- Complete the Statutory Declaration in Section 9 in front of a Justice of the Peace, Solicitor, Notary Public or other person authorised to take statutory declarations.

You can find a Justice of the Peace near you by visiting the New Zealand's Justices' Association website jpfed.org.nz or Yellow Pages yellow.co.nz. They will be able to certify your ID and witness your statutory declaration. You can also call Yellow's Directory Assistance on 018 (note charges up to about \$1 apply).

Statutory declaration made outside New Zealand

A declaration made in a Commonwealth country other than New Zealand shall be made before a Judge, a Commissioner of Oaths, a Notary Public, a Justice of the Peace, or any person authorised by the law of that country to administer an oath there for the purpose of a judicial proceeding, or before a Commonwealth representative, or before a solicitor of the High Court of New Zealand.

A declaration made in a country other than a Commonwealth country shall be made before a Commonwealth representative, a Judge, a Notary Public, or a solicitor of the High Court of New Zealand.

- Select a preferred identification method in Section 2 and provide evidence (refer to Sections 2 & 3)
- Proof of your Australian Superannuation fund's bank account. It must show the bank account name, bank account number, swift code and logo of the bank.
- A letter from your Australian Superannuation fund confirming that they accept KiwiSaver transfers.
- Evidence of your permanent residence at an Australian address, for example:
 - a copy of a property sale and purchase agreement; or
 - tenancy/leasing agreement for an overseas property; and
 - recent utility bill; or
 - bank statement
- Evidence of your departure from New Zealand, for example:
 - evidence of confirmed travel arrangements; or
 - passport evidence; or
 - evidence of any necessary visas or shipping contracts/documentation; or
 - evidence of Australian employment, i.e. a copy of a contract or letter from your Australian employer; or
 - evidence of your Australian tax status issued by the relevant Australian tax authority.
- Provide the original of this completed form (only if the value of your withdrawal is \$100,000 or more)