

Fisher Funds Investment Funds Changing Investments Form

Use this form to switch which funds your investment account(s) invest in, change your investment mandate for your investment account(s), change the frequency of rebalancing on your investment account(s) or turn it on or off, or move balances between your investment account(s). If you have questions or need assistance, please contact us on 0508 347 437.

Please scan and email the completed form and supporting documents to enquiries@fisherfunds.co.nz or return by post to:

Fisher Funds Wealth Limited
Private Bag 93502
Takapuna
Auckland 0740

Section 1 – Investor details (complete all applicable fields)

Client number (if known)

Title	First name(s)	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

IRD number

Contact phone

Email address

Residential address

Street no.	Street name	Suburb
<input type="text"/>	<input type="text"/>	<input type="text"/>
City	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>

If you want to...

Then complete...

Switch which funds your investment account(s) invests in	Section 2 and Section 6
Change your investment mandate	Section 3 and Section 6
Change the frequency of rebalancing	Section 4 and Section 6
Move balances between your investment account(s)	Section 5 and Section 6

Section 2 – Switching which funds your investment account(s) invest in

Complete the table below to switch Funds. The switch between Funds must be within the same investment account. Please note that in rare circumstances transaction costs (buy/sell spreads) may apply. Any PIE tax payable/refundable will be paid/refunded when we process your switch.

Investment account number	Investment account name	From fund	To fund	Please specify one	
				No. of units or all	\$ Amount

Please copy this page and attach to add more investment accounts.

- We will automatically update your investment mandate for your investment account(s) to match your new Fund choice (to the nearest multiples of 5%).
- We will be unable to process your request if the number of units or dollar amount requested is less than the number of units or value of your investment account on the relevant valuation date applicable for your request.
- Where you request to switch a dollar amount, we will adjust the amount withdrawn from the Fund(s) you are switching from to account for any PIE tax payable/refundable so that the net amount paid into the Fund(s) you are switching to is the dollar amount requested.

Section 3 – Changing your investment mandate

Your percentage allocation to each fund(s) for an investment account is called your investment mandate. We process any future contributions or withdrawals in accordance with your current investment mandate.

If you wish to change your investment mandate, please complete the table below for your investment account(s).

If you have previously elected for your investment account to be rebalanced either quarterly, half yearly, or yearly, we will rebalance your investment account(s) to your new investment mandate(s).

If you haven't previously elected to have your investment account(s) rebalanced regularly, please tick if you want us to rebalance your investment account(s) to your new investment mandate(s). This will be a one-off rebalance. In either case, transaction costs (buy/sell spreads) may apply.

- Yes, please rebalance my investment account(s) to my new investment mandate(s) as a one-off rebalance. Please note that in rare circumstances transaction costs (buy/sell spreads) may apply. Any PIE tax payable/refundable will be paid/refunded when we process your mandate change.

Investment account number	Investment account name	Conservative fund %	Balanced fund %	Growth fund %	Total
					100%
					100%
					100%
					100%
					100%

Please copy this page and attach to add more investment accounts.

- Your allocation must total 100% and be in 5% increments if you are spreading your investment. If your allocation does not meet this criteria we will be unable to process your request.

Section 4 – Change the frequency of rebalancing

Complete the table below if you wish to make a change to how we rebalance your investment account(s)

Investment account number	Investment account name	Pick one frequency to automatically rebalance your account ¹ (quarterly, half yearly, yearly)	Tick to rebalance your investment account as a one-off*
		<input type="radio"/> Q <input type="radio"/> HF <input type="radio"/> Y <input type="radio"/> None	<input type="radio"/>
		<input type="radio"/> Q <input type="radio"/> HF <input type="radio"/> Y <input type="radio"/> None	<input type="radio"/>
		<input type="radio"/> Q <input type="radio"/> HF <input type="radio"/> Y <input type="radio"/> None	<input type="radio"/>
		<input type="radio"/> Q <input type="radio"/> HF <input type="radio"/> Y <input type="radio"/> None	<input type="radio"/>
		<input type="radio"/> Q <input type="radio"/> HF <input type="radio"/> Y <input type="radio"/> None	<input type="radio"/>

Please copy this page and attach to add more investment accounts.

Your holdings in the relevant account will be rebalanced back to your investment mandate using the unit prices determined for the next pricing valuation day after the end of the relevant period and also following any change in investment mandate for the relevant account (subject to the terms of the Trust Deed). See the Product Disclosure Statement for further details of the current frequency of pricing valuation days and when, in practice, units are generally issued and redeemed. For rebalancing purposes, a year ends on 31 March. Please note that in rare circumstances transaction costs (buy/sell spreads) may apply. Any PIE tax payable/refundable will be paid/refunded each time your account is rebalanced.

* Your holdings in the relevant investment account will be rebalanced back to your investment mandate using the unit prices determined for the next pricing valuation day. In rare circumstances transaction costs (buy/sell spreads) may apply. Any PIE tax payable/refundable will be paid/refunded when your account is rebalanced.

Section 5 – Moving balances between your investment account

Complete the table below to move balances between your investment accounts. Any PIE tax payable/refundable will be paid/refunded when we move money between your investment accounts. The dollar amount paid into the receiving account shall be adjusted to account for any PIE tax payable/refundable by the Fund(s) you are moving the money from, as such the dollar amount paid into the receiving account may be more or less than the amount moved.

	Details of the Investment Account you are moving from		Value to be moved		Details of the Investment Account you are moving to	
	Investment account number	Investment account name	Total \$ Amount (Investment Mandate)* To move your entire balance enter 'All' below	Per Fund \$ Amount	Investment account number	Investment account name
Example 1	123456	Holiday Savings	Total: \$1,000	Conservative: \$ Balanced: \$ Growth: \$	56789	Rainy day savings
Example 2	987654	New car savings	Total: \$	Conservative: \$500 Balanced: \$500 Growth: \$	32100	Holiday savings
1.			Total: \$	Conservative: \$ Balanced: \$ Growth: \$		
2.			Total: \$	Conservative: \$ Balanced: \$ Growth: \$		

Please copy this page and attach to add more investment accounts.

* The \$ amount will be redeemed and invested in accordance with your instruction for each investment account.

We will be unable to process your request if the number of units or dollar amount requested is less than the number of units or value of your investment account on the relevant valuation date applicable for your request.

Section 6 – Declaration and authorisation

By signing this Changing Investments Form I/we acknowledge that:

Setting an investment mandate for each investment account is my/our responsibility, and neither the Manager, nor Public Trust (Supervisor), is to be regarded as representing or implying that my/our choices are appropriate for my/our particular circumstances. Subject to the terms of the Trust Deed, my/our choices(s) are binding directions to the Manager.

If I/we have selected rebalancing in section 3 and/or section 4 I/we:

- direct the Manager to rebalance my/our holdings back to the investment mandate for each relevant investment account as a one-off rebalance in section 3 and/or at the selected frequency as set out in section 4; and
- acknowledge and agree that by giving that direction I am/we are deciding which financial products to acquire or dispose on my/our behalf (and that the Manager is not making that decision when acting on my/our direction)

I/we have received, read and understand the current “Fisher Funds Investment Funds Product Disclosure Statement” (PDS) available at fisherfunds.co.nz/investment-funds/ and understand that additional information about the Fisher Funds Investment Funds (Funds) is available on the Funds’ online register entry.

I/We agree that, by signing this Form, or if I/we are under 18, my legal guardian(s) on my/our behalf, agree to be bound by the Fisher Funds Investment Funds master trust deed and relevant establishment deeds, the PDS (including the initial Application Form that I/we completed), this Form), and the Funds’ online register entry (as each may be amended from time to time).

I/We acknowledge that no person guarantees any investment returns from the Funds or the repayment of my/our investment.

I/We understand that the capital value of my/our investment in the Funds can rise or fall depending on market conditions and the investment decisions of the Manager and its appointed investment manager, and that it is therefore possible that I/we may receive less back than I/we have invested.

If signed under a power of attorney, the attorney certifies that they have not received notice of a revocation of that power.

Where the investment account is held jointly, the above confirmations are given for and behalf of all account owners.

Where the investor is an Entity, the above confirmations are given for and on behalf of that Entity (where applicable).

Signature(s) of principal investor/director/trustee/partner

Name

Signature

Date

Or if the applicant is under the age of 18, the applicant’s parent(s) or guardian(s) must confirm the following statement and sign below: I confirm that I am (or that each of us is) a legal guardian of the applicant named in Section 1 and have read and accepted the declarations in section 6 on behalf of the applicant.

Signature(s) of parent/guardian

Name

Signature

Date