

Additional Account Owner (New Accounts Only)

If you would like help in completing this form, please email enquiries@fisherfunds.co.nz or phone us on **0508 FISHER (0508 347 437)**.

You can complete this form on-screen by typing directly into each field. Once you have completed this form:

- If you have selected Option 1 as your preferred identification method you can email your completed application to enquiries@fisherfunds.co.nz
- If you reside in New Zealand and have selected Option 2 as your preferred identification method you can email your completed application to enquiries@fisherfunds.co.nz
- If you reside outside New Zealand and have selected Option 2 as your preferred identification method you must post your application and supporting documents to **Fisher Funds Management Limited, Private Bag 93502, Takapuna, Auckland 0740** or send by courier to **Fisher Funds Management Limited, Crown Centre, 67-73 Hurstmere Road, Takapuna, Auckland 0622**

Who should complete this form?

Complete this form to provide details of an additional account owner for the following schemes: Fisher Funds Managed Funds, Fisher Funds Premium Service or Fisher Funds Investment Series.

This form is to be used to supplement the application form for new Fisher Funds accounts. If you wish to change the ownership structure of your existing Fisher Funds account please contact us.

Section 1 – Account details

Name of account

Section 2 – Your details

What is your relationship to the account? i.e account holder, trustee, director, etc

Title

First name(s)

Surname

Date of birth

Occupation

IRD Number (please note, we will not be able to open your account without your IRD number. If you are a foreign tax resident you must enter your Tax Identifier Number on the following page.)

Address

City

Country

Postcode

Section 2 – Your details (continued)

Contact phone

Email address

Are you a U.S. citizen or U.S. tax resident?

Yes No

Are you a tax resident in another country (other than the U.S. or New Zealand)?

Yes No

If Yes to either of the above questions, what is your taxpayer identification number (TIN) or equivalent?

Country of tax residence

Tax Identification Number (TIN) or equivalent
(or reason why TIN was unable to be obtained)

Section 3 – Identification options

Fisher Funds is required to verify the identity of new clients and associated parties under the Anti-Money Laundering and Countering Financing of Terrorism Act 2009 ('AML Act'). We offer clients two ways to confirm their identity.

Option 1

Electronic identity verification (not available for minors)

Fisher Funds has the ability to electronically verify your identity and address. Once we have received your application we will send you an SMS via our third party partner to biometrically verify your identity. To complete this method of verification you must have: a smartphone (with a front camera that is capable of taking a photo/video) and a current (not expired) version of one of the following: New Zealand Passport; New Zealand Drivers Licence; Australian Passport; Australian Drivers Licence.

If this method of identification is unsuccessful you will be required to provide certified ID and proof of address.

Option 2

Certified copies of identity documents and proof of residential address

Please provide a certified copy of your identity documents and proof of your residential address. Refer to the Certified Identity Documents section of the application form for information on acceptable identity documents and who can certify them.

Preferred identification method – please select one of the following options:

Option 1 – I would like Fisher Funds to electronically verify my identity

Option 2 - I would like to provide Fisher Funds with certified copies of my identity documents

Section 4 – Investor declaration

I have read the product disclosure statement (PDS) and agree to be bound by the terms and conditions of the PDS and Governing Document. I have read and agree to the terms set out in the Investor declaration on the application form.

Name

Signature

Date